

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*Docket No.  
29131.2241

Total Pages in this Submission

68

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**ROBOTIC METHOD OF TRANSFERRING WORKPIECES TO AND FROM WORKSTATIONS**

and invented by:

Jose R. Gonzalez-Martin and Chris Karlsrud

1c490 U.S. PTO  
09/517714  
03/02/00If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/926,700

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☐ Specification having \_\_\_\_\_ pages and including the following:
  - a. ☐ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications *(if applicable)*
  - c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
  - d. ☐ Reference to Microfiche Appendix *(if applicable)*
  - e. ☐ Background of the Invention
  - f. ☐ Brief Summary of the Invention
  - g. ☐ Brief Description of the Drawings *(if drawings filed)*
  - h. ☐ Detailed Description
  - i. ☐ Claim(s) as Classified Below
  - j. ☐ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Application Elements (Continued)

- ☐ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☐ Informal Number of Sheets \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☒ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☐ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Specify Label No.): EL246610637US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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## Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	13	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$78.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$690.00

- ☒ A check in the amount of \$690.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-2814 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

Laura J. Zeman (Reg. No. 36,078)  
SNELL & WILMER, L.L.P.  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-2202  
Telephone: (602) 382-6377  
Facsimile: (602) 382-6070

Dated: March 2, 2000

cc:

03-03-00

A

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Jose R. G nzalez-Martin et al.

Docket No.

29131.2241

Serial No.

To Be Assigned

Filing Date

March 2, 2000

Examiner

To Be Assigned

Group Art Unit

To Be Assigned

Invention: **ROBOTIC METHOD OF TRANSFERRING WORKPIECES TO AND FROM WORKSTATIONS**

03/02/00

jc490 U.S. PTO  
09/517714*Petition to Correct Inventionship  
(with associated fee),*

I hereby certify that this utility patent application, including prelim. amendment, parent application & filing fee,  
 (Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
March 2, 2000  
 (Date)

Joyce A. Simpson

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature of Joyce A. Simpson in cursive script.

(Signature of Person Mailing Correspondence)

EL246610637US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAILING BY EXPRESS MAIL****EXPRESS LABEL NO. EL426610637US**

I hereby certify that the below-identified Petition to Correct Inventorship is being deposited as Express Mail on this date with the United States Postal Service in an envelope addressed to Box NEW PATENT APPLICATION, Assistant Commissioner for Patents, Washington D.C. 20231-0001.

Date: March 2, 2000

By: 

Signature of Person Depositing as Express Mail

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**-- PATENT APPLICATION --**

Applicants: Jose R. Gonzalez-Martin et al.

Docket No.: 29131.2241

Serial No.: To Be Assigned

Filed: March 2, 2000

Title: ROBOTIC METHOD OF  
TRANSFERRING WORKPIECES  
TO AND FROM WORKSTATIONS

Examiner: To Be Assigned

Art Unit: To Be Assigned

jc490 U.S. PTO

09/517714



03/02/00

**PETITION TO CORRECT INVENTORSHIP**  
**PURSUANT TO 37 C.F.R. § 1.48(b)**

Box NEW PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231-0001

Pursuant to 37 C.F.R. § 1.48(b), Applicants hereby petition to correct the inventorship in the above-identified application. Specifically, Applicants request the removal of Robert Allen, Toby Jordan, Craig Howard, Arthur Hamer, Jeff Cunnane, Periya Gopalan, Bill Thornton, Jon MacErnie and Fernando Calderon as co-inventors. This change is required as the above-identified application is a divisional application of application Serial No. 08/926,700, which contained several components of an invention entitled "COMBINED CMP AND WAFER CLEANING APPARATUS AND ASSOCIATED METHODS". In his Office Action dated April 2, 1999 in the parent application, the Examiner declared the various components to be distinct from each other and required election of only one component of the claimed invention. The above-identified divisional application is directed to one of the several components which was not elected in the

03/13/2000 DFL/DFD 00000033 09517714

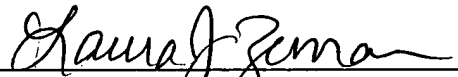
02 FC:122

130.00 OP

parent application, and the inventors whose names are requested to be deleted were not instrumental in the invention of the component claimed in this divisional application.

This Petition is accompanied by our check in the amount of \$130.00 as set forth in § 1.17(i). The Commissioner is hereby authorized to credit any overpayment or charge any additional fees required to Deposit Account No. 19-2814, for which purpose **an extra copy of this page is attached.**

Respectfully submitted,




Laura J. Zeman, Reg. No. 36,078

**SNELL & WILMER, L.L.P.**  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-2202  
Telephone: (602) 382-6377  
Facsimile: (602) 382-6070

parent application, and the inventors whose names are requested to be deleted were not instrumental in the invention of the component claimed in this divisional application.

This Petition is accompanied by our check in the amount of \$130.00 as set forth in § 1.17(i). The Commissioner is hereby authorized to credit any overpayment or charge any additional fees required to Deposit Account No. 19-2814, for which purpose **an extra copy of this page is attached.**

Respectfully submitted,

  
\_\_\_\_\_  
Laura J. Zeman, Reg. No. 36,078

**SNELL & WILMER, L.L.P.**  
One Arizona Center  
400 East Van Buren  
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Telephone: (602) 382-6377  
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EM339489945US

**CERTIFICATE OF MAILING BY U.S. EXPRESS MAIL NO. EM 339 489 945 US**

I hereby certify that this communication with attachments (if any), pursuant to 37 C.F.R. §1.10 is being deposited as U.S. Express Mail this date with the United States Postal Service in an envelope addressed to Box Patent Application, Assistant Commissioner of Patents, Washington, D.C. 20231, on

Date: September 10, 1997

By: Margaret S. Adams

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**
**- PATENT APPLICATION -**

Applicants:	<u>Jose R. Gonzalez-Martin, et al.</u>	Atty Docket No.:	<u>29131.2200</u>
Serial No.:	<u>Not Yet Assigned</u>	Filed:	<u>10 September 1997</u>
Title:	<u>COMBINED CMP AND WAFER CLEANING APPARATUS AND ASSOCIATED METHODS</u>	Examiner:	<u>Unknown</u>
		Unit:	<u>Unknown</u>

**FILING OF PATENT APPLICATION  
PURSUANT TO 37 C.F.R. §1.53**

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

Dear Madam or Sir:

Attached hereto is the above-identified ☒ UTILITY ☐ DESIGN patent application for a:

☒ New patent application

Atty Docket No.: 29131.2200

☐ Continuation or divisional application based on the above-identified patent application

New Atty Docket No.: \_\_\_\_\_

☐ Continuation-in-part application based on the above-identified patent application

New Atty Docket No.: \_\_\_\_\_

We respectfully request this application be assigned a serial number and filing date, and submitted herewith is the required:

☐ Specification

☒ Claim(s)

☒ Drawing(s)

☒ Inventor(s)

Any other required information not submitted herewith will be submitted subsequently. Please send all correspondence regarding this application to the undersigned attorney at the address below:

Respectfully submitted:

By: Troy M. Schmelzer

Attorney: Troy M. Schmelzer

Reg. No.: 36,667

Dated: 10 SEPTEMBER 1997

SNELL & WILMER L.L.P.  
One Arizona Center  
400 East Van Buren  
Phoenix, Arizona 85004-0001  
(602) 382-6241

9-11-97

Noted for filing  
KAB

393660.01